



Docket No.: SHO-0055  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Kazuo Okada

Application No.: 10/697,281

Confirmation No.: 8441

Filed: October 31, 2003

Art Unit: 3711

For: GAMING MACHINE

Examiner: Not Yet Assigned

**SECOND INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

- A copy each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Concise Explanation of Relevancy of the Document  
(Fill out if no English translation, partial translation or English abstract is available)

- Any document having neither English translation nor English abstract relates to the subject matter of the above-identified application. English translation of the document is not readily available; however, the absence of such translations does not relieve the PTO from its duty to consider the submitted document (37 C.F.R. §1.98 and MPEP §609).

1. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the present application.

2. Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

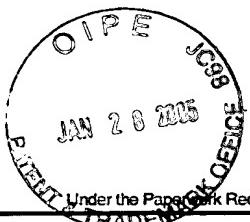
**No fee is required** (37 C.F.R. §1.97). The Patent Office is **NOT AUTHORIZED** to charge deposit account 18-0013 under 37 CFR §§ 1.97(c)(2) and 1.17(p).

Dated: January 28, 2005

Respectfully submitted,

By \_\_\_\_\_  
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PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-C031  
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Substitute for form 1449A/B/PTO				<b>Complete If Known</b>	
				Application Number	10/697,281
				Filing Date	October 31, 2003
				First Named Inventor	Kazuo Okada
				Art Unit	3711
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>					
Sheet 1 of 1 Attorney Docket Number SHO-0055					

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
AA	US 6,174,233 B1	01/16/2001	Universal Sales Co., Ltd		
AB	US 6,174,234 B1	01/16/2001	H. Betti Industries, Inc.		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
BA	JP 2001-087592	04/03/2001	Hitachi Ltd		
BB	JP 2001-198316	07/24/1993	Sophia Co., Ltd		
BC	JP 05-123441	05/21/1993	Sankyo KK		
BD	JP 07-124290	06/16/1995	Olympia KK		
BE	JP 2001-252394	09/18/2001	Denso Corp et al		
BF	JP 2001-062032	03/13/2001	Yamaska KK		
BG	JP 2001-161892	06/19/2001	Olympia KK		
BH	JP 2002-136652	05/14/2002	Sankyo KK		
BJ	EP 0 978 809 A2	02/09/2000	Aruze Corporation		
BK	EP 0 997 857 A2	05/03/2000	Aruze Corporation		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		T <sup>2</sup>			
CA	EP Search report for 04004728.4-1238, dated 12/13/2004				
CB	Office Action from Japanese Patent Office for 2002-337132, dated 12/07/2004				
CC	Office Action from Japanese Patent Office for 2002-337133, dated 12/07/2004				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
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